



**ROSE MERC  
LIMITED**

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15/B/4, New Sion Chs Swami Vallabhdas Road,  
Opp SIES College, Behind Dmart Store,  
Sion West, Mumbai-22.

GSTIN : 27AACCR3663B1ZM  
CIN : L93190MH1985PLC035078

**Date: 22 August, 2025**

To,  
BSE Limited  
Corporate Relationship Department  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai – 400001

BSE Scrip Code: 512115  
Scrip ID: ROSEMER

**Subject: Intimation regarding Waiver of SOP fine levied Pursuant to Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023 (Chapter VII( A)-Penal Action for Non-Compliance), issued by Securities and Exchange Board of India (SEBI) with respect to penal actions prescribed for non-compliance of certain provisions of the SEBI (LODR) Regulations, 2015. (Erstwhile SEBI Circulars dated January 22, 2020, May 03, 2018; November 30, 2015 and September 30, 2013).**

Ref.: Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Madam,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Company has received communication from the Securities and Exchange Board of India (SEBI) regarding the waiver of SOP fine levied for non-compliance with Regulation 6(1) of SEBI (LODR) Regulations, 2015 for the quarter ended December 31, 2023.

The Company had made a representation for waiver of the fine imposed. The matter was placed before the **Internal Regulatory Oversight and Review Group of SEBI** in its meeting held on **August 14, 2025**. Based on the facts of the case and written submissions made by the Company, the Group has:

- Fully waived the penalty of **₹1,08,560** levied for the said quarter.
- Issued a warning letter for incorrect filing in the Reconciliation of Share Capital Audit Report for the quarter ended December 2023.

The Company has duly noted the contents of the warning letter, which will be placed before the Board of Directors at the upcoming Board Meeting for their consideration and necessary noting.

This intimation is being made to the Stock Exchange in compliance with the directives of SEBI and applicable regulations.

You are requested to take the above on record and acknowledge the same.

Thanking you,

Yours faithfully,  
**For ROSE MERC LIMITED**

Vaishali  
Parker Kumar  
**Vaishali Parker Kumar**  
Executive Director  
DIN: 09159108

Digitally signed by  
Vaishali Parker Kumar  
Date: 2025.08.22  
18:04:21 +05'30'

**Encl: Warning letter Issued by SEBI.**

LIST/COMP/AP/392/2025-26

August 21, 2025

The Company Secretary  
**Rose Merc Ltd**  
Office no:15/B/4, New Sion CHS,  
Opp SIES College,  
Behind D Mart, Sion West,  
Mumbai, Maharashtra, 400022

**Sub: Warning letter under 4(2)(e) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR').**

Dear Sir/Madam,

This is with reference to the submissions made by the company under regulation 76 of SEBI (Depositories and Participants) Regulations, 2018, for the quarter ended December 2023 w.r.t providing details of Compliance officer under Reconciliation of Share Capital Audit Report required.

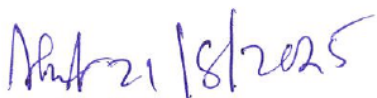
It is observed that details of Compliance officer filed by the Company were not correct in the report submitted for the aforementioned quarter, consequently, fine was levied for not appointing qualified Company Secretary as mandated under regulation 6(1) of SEBI (LODR) regulation 2015.

In this regard, your immediate attention is drawn to **Regulation 4(2)(e)** of SEBI LODR regulations 2015, which emphasis on Disclosure and transparency "The listed entity shall ensure timely and accurate disclosure on all material matters including the financial situation, performance, ownership, and governance". In view of the above, the Company is hereby advised to be careful in future to avoid recurrence of such lapses and ensure exercise of due diligence and accuracy while submitting disclosures to the Stock Exchange.

You are advised to ensure that the Company files relevant, complete and adequate information regarding material events / incidents impacting the Company in compliance with the SEBI LODR not only in letter but in spirit. Filing incomplete information or delay in disclosing the details as required under the SEBI LODR in future would attract suitable disciplinary action, as may be deemed fit.

You are advised to place this letter before the Company's Board of Directors in the upcoming board meeting and disseminate the letter to the Stock Exchange(s).

Yours faithfully,



**Shyam Bhagirath**  
Manager  
Listing Compliance



**Arpeeta Pawaskar**  
Deputy Manager  
Listing Compliance